## **REMARKS**

This application is a continuation of Application No. 09/421,621, filed October 19, 1999 (now abandoned). This Supplemental Preliminary Amendment is submitted in order to advance the prosecution by way of further response to the final Office Action dated July 17, 2001 (Paper No. 6) in the parent application. The specification has been amended to correct misspelled words and typographical mistakes.

Claims 36-55 have been canceled. New Claims 58-69 have been added to more clearly define the invention. In independent Claim 58, support for the recitation in step (b) of a stable positive surface charge in the range of about +1 mV to +100 mV (as determined by the zeta potential measurement) is found in the specification at page 4, line 7. Support for the recitation in step (d) of mixing the pulp furnish to uniformly distribute the modified starch particles in the furnish is found in the specification at page 15, line 26 and at page 16 in Table 8. Support for the recitation in step (e) that the modified starch is uniformly distributed in the paper product is the necessary result of performing of step (d).

The Rejection of Claims 1, 2, 8-10, 26, 27, 35-37, 46, 47, and 55

## Under 35 U.S.C. §§ 102(a)(b)/103(a)

In the final Office Action dated July 17, 2001 (Paper No. 6) in the parent application, Claims 1, 2, 8-10, 26, 27, 35-37, 46, 47, and 55 were rejected under 35 U.S.C. §§ 102(a)(b) as anticipated by or, in the alternative, under 35 U.S.C. § 103(a) as obvious over Brucato (U.S. Patent No. 4,609,432) or Pruszynski (U.S. Patent No. 5,942,087). Claims 36, 37, 46, 47, and 55 have been canceled. Applicants respectfully submit that Claims 1, 2, 8-10, 26, 27, and 35 and new Claims 58-69 are patentable over the teachings of Brucato and Pruszynski for the following reasons.

LAW OFFICES OF CHRISTENSEN O'CONNOR JOHNSON KINDNESSPLLC 1420 Fifth Avenue Suite 2800 Seattle, Washington 98101 206.682.8100 The Teachings of the Brucato Reference. The Brucato reference teaches a method of

making paper having improved tearing strength. The reference states:

Broadly speaking, in accordance with the present invention, a greater

tearing strength is achieved by . . . introducing some fiber bonds of a

different strength from those usually in the paper, by distributing such

bonds <u>non-uniformly</u>, and by introducing some fibers that are unlike those

usually in the paper. (Column 1, lines 54-60, emphasis added.)

The reference further teaches:

In accordance with the present invention, a relatively coarse fiber network

is caused to be interposed within and bonded to the normal relatively fine

fiber network of the paper so that the random fiber distribution is uniform

on a macroscale but is non-uniform on a microscale . . . the effect of this

non-uniform distribution on a microscale is to increase the tearing strength

or resistance to internal tear. (Column 2, lines 52-61, emphasis added.)

Thus, the thrust of the teaching of the Brucato reference is to prepare a paper product

having a relatively fine network of a "principal fiber" and a relatively coarse network of an

"added fiber" non-uniformly distributed on a microscale within the network of the principal fiber

in order to achieve better tearing strength. The bonding agent in the added fiber component is

uncooked starch that is admixed or coated with a cationic material capable of causing the starch

to adhere to the added fiber component.

The Present Invention is Patentable Over the Teachings of the Brucato Reference. In

contrast to the express teachings of Brucato, the present invention provides a method of making a

paper product having uniformly-distributed modified starch particles contained therein which

exhibits an increased retention of the modified starch particles. Furthermore, the present

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invention does not involve the use of a "principal fiber" and an "added fiber" as in Brucato. The

result of the present inventive process is a paper product having modified starch particles

uniformly distributed therein and exhibiting at least about 60% retention of the modified starch

particles.

Thus, the present method and paper product are not taught or suggested by the teachings

of Brucato. Accordingly, applicants respectfully request withdrawal of this rejection based on

Brucato.

The Teachings of the Pruszynski Reference. The Pruszynski reference teaches a process

for manufacturing paper and paperboard that includes the steps of preflocculating granular starch

with an aqueous solution containing cellulosic fibers and a flocculant and then introducing the

preflocculated mixture to either the white water, thick stock, or thin stock. By preflocculating

the starch in the presence of cellulosic fibers, the Pruszynski reference describes achieving

improved formation without compromising retention of the starch in the formed mat.

It is instructive to note the reference's discussion of the distinction between coagulants

and flocculants. The Pruszynski reference states:

Coagulants are low molecular weight cationic synthetic polymers or

cationic starches that are added to the stock. Coagulants generally reduce

the negative surface charges present on granular starch particles and other

particles in the stock and accomplish a degree of agglomeration of these

particles.

Flocculants, on the other hand, are generally high molecular weight synthetic

polymers operating via a bridging mechanism which creates larger

agglomerates. The resulting agglomerates are then more easily entrapped in

the formed web and therefore retention is improved. (Column 1, lines 52-62.)

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Suite 2800 Seattle, Washington 98101 206.682.8100 Thus, it is clear that the Pruszynski reference teaches the use of flocculants, which

are high molecular weight synthetic polymers that operate via a bridging mechanism that

creates large agglomerates of flocculated starch particles.

The Present Invention is Patentable Over the Teachings of the Pruszynski Reference. In

the first Office Action dated January 4, 2001 (Paper No. 4) in the parent application, the

Examiner took the position that Example 3 of Pruszynski (a comparative example) anticipated or

rendered obvious the present invention.

To demonstrate the differences between the teachings of Example 3 of the Pruszynski

reference and the present invention, applicants enclose herewith the 37 C.F.R § 1.132 declaration

of Sherry Thomson. Ms. Thomson's declaration provides a comparison of the particles of the

invention and particles prepared in accordance with the teaching of the Pruszynski reference.

Among other differences, the particles of the invention have a positive surface charge, while

particles prepared according to the Pruszynski teaching have a negative surface charge.

As demonstrated by the test results in Table 1 of the declaration, the charged modified

starch particles representative of the present invention are considerably smaller in size than the

flocculated starch particles representative of the teachings of Example 3 in the Pruszynski

reference. Furthermore, as shown in Table 2 of the declaration, the modified starch particles

representative of the teachings of the present invention exhibit stable and high magnitude

positive charges whereas the flocculated starch particles representative of Example 3 of the

Pruszynski reference exhibit unstable and low magnitude charges.

Thus, it is clear from Ms. Thomson's declaration that the charged modified starch

particles representative of the present invention are considerably smaller in size than the

flocculated starch particles representative of the teachings of Example 3 in the Pruszynski

reference. Furthermore, it is clear from Ms. Thomson's declaration that the modified starch

LAW OFFICES OF CHRISTENSEN O'CONNOR JOHNSON KINDNESSPLLC 1420 Fifth Avenue Suite 2800

Seattle, Washington 98101 206.682.8100 particles representative of the teachings of the present invention exhibit stable and high

magnitude positive charges whereas the flocculated starch particles representative of Example 3

of the Pruszynski reference exhibit unstable and low magnitude charges.

Thus, the present method and paper product are not taught or suggested by the teachings

of Pruszynski. Accordingly, applicants respectfully request withdrawal of this rejection based on

Pruszynski.

The Rejection of Claims 3, 4, 11, 28, and 48 Under 35 U.S.C. § 102(a) or 35 U.S.C. § 103(a)

In the final Office Action dated July 17, 2001 (Paper No. 6) in the parent application,

Claims 3, 4, 11, 28, and 48 were rejected under 35 U.S.C. § 102(a) as anticipated by or, in the

alternative, under 35 U.S.C. § 103(a), as obvious over Pruszynski (U.S. Patent No. 5,942,087).

Claims 48 has been canceled.

For the reasons discussed above, applicants respectfully submit that the subject matter of

Claims 3, 4, 11, and 28 and new Claims 58-69 is not taught or suggested by the teachings of the

Pruszynski reference. Accordingly, applicants respectfully request withdrawal of this rejection

based on the Pruszynski reference.

The Rejection of Claims 3-7, 11-25, 28-34, 38-45, and 48-54 Under 35 U.S.C. § 103(a)

In the final Office Action dated July 17, 2001 (Paper No. 6) in the parent application,

Claims 3-7, 11-25, 28-34, 38-45, and 48-54 were rejected under 35 U.S.C. § 103(a) as being

unpatentable over Brucato (U.S. Patent No. 4,609,432). Claims 38-45 and 48-54 have been

canceled.

For the reasons discussed above, applicants respectfully submit that the subject matter of

Claims 3-7, 11-25, and 28-34 and new Claims 58-69 is not taught or suggested by the teachings

of the Brucato reference. Accordingly, applicants respectfully request withdrawal of this

rejection based on the Brucato reference.

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## Conclusion

In view of the above amendments and foregoing remarks, applicants believe that Claims 1-35 and 56-69 are in condition for allowance. If any issues remain that may be expeditiously addressed in a telephone interview, the Examiner is encouraged to telephone the undersigned at 206-695-1755.

Respectfully submitted,

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In the Specification:

The paragraph beginning at page 2, line 9, has been amended as follows:

However, by adding a surface charge to the raw starch particles, it is possible to

dramatically increase starch retention in a web. Such increased starch retention is achieved

through the use of retention aids that form a bridging attachment between the charged starch

particles and fiber surfaces. Adding charge to raw starch has been previously accomplished by

chemical modification of starch through the covalent attachment of certain functional groups, for

example, quaternary amine groups, to the starch. In papermaking, these chemically modified

starches are typically fully cooked and then added in the [papermachine's] paper machine's wet

end. Such a chemical modification process adds considerable cost to the starch and, furthermore,

does not result in a starch having sufficient surface charge to improve retention of [uncooked]

cooked starch significantly beyond that of raw unmodified starch.

The paragraph beginning at page 2, line 26, has been amended as follows:

In one aspect, the present invention provides a modified polysaccharide having enhanced

surface charge. The polysaccharide of the invention is a polysaccharide that has been modified to

include a cationic polymer. The modified starch formed in accordance with the present invention

has a surface charge from about +5 to about +20 mV. The modified polysaccharide can be

[advantageous] advantageously incorporated into a papermaking furnish with enhanced retention.

The paragraph beginning at page 10, line 8, has been amended as follows:

Treatment with APAM had an insignificant effect on the appearance of fibers treated

with either the cationic starch [and] or PQA-modified starch.

The paragraph beginning at page 10, line 13 has been amended as follows:

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Seattle, Washington 98101 206.682.8100 In this example, the measurement of the surface charge of representative starch particles

having enhanced surface charge are described. The surface [charged] charge was determined by

zeta potential measurement.

The paragraph beginning at page 13, line 20 has been amended as follows:

Britt Jar Conditions. A Britt Jar having a 100 mesh conical mesh screen was used in the

retention determination. The pulp was added into a [vaned] tared Britt Jar with the stopper [close]

closed and mixed with the starch at various speeds. After time had elapsed for sampling, the

stopper was opened and the filtrate was collected in a tared aluminum pan (around 100 mL). The

pan was immediately weighed on the same four-place balance that was used for the tare. The pan

was put into a 105°C oven until the following day. The dried sample was placed into a desiccator

before reweighing the pan. The consistency of the unretained slurry was calculated from

Equation 1.

The paragraph beginning at page 14, line 21 has been amended as follows:

The above conditions were run at three different mixing speeds, 500, [100] 1000, and

1500 rpm, with the ultimate goal of obtaining realistic filler retention. Typical filler retention on

a fine paper machine is between 50-55% retention. Table 7 provides the mixing speed results.

In the Abstract:

The paragraph beginning at page 29, line 4 has been amended as follows:

A modified polysaccharide having enhanced surface charge. The polysaccharide is

modified to include a cationic polymer, preferably a polyquaternary amine, and has a surface

charge from about +5 to about +20 mV. The modified polysaccharide can be [advantageous]

advantageously incorporated into a papermaking furnish with enhanced retention.

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## In the Claims:

Claims 36-55 have been canceled.

Claims 58-69 have been added.